COVER SHEET FOR AMENDMENT OF POST-TRAVEL SUBMISSION
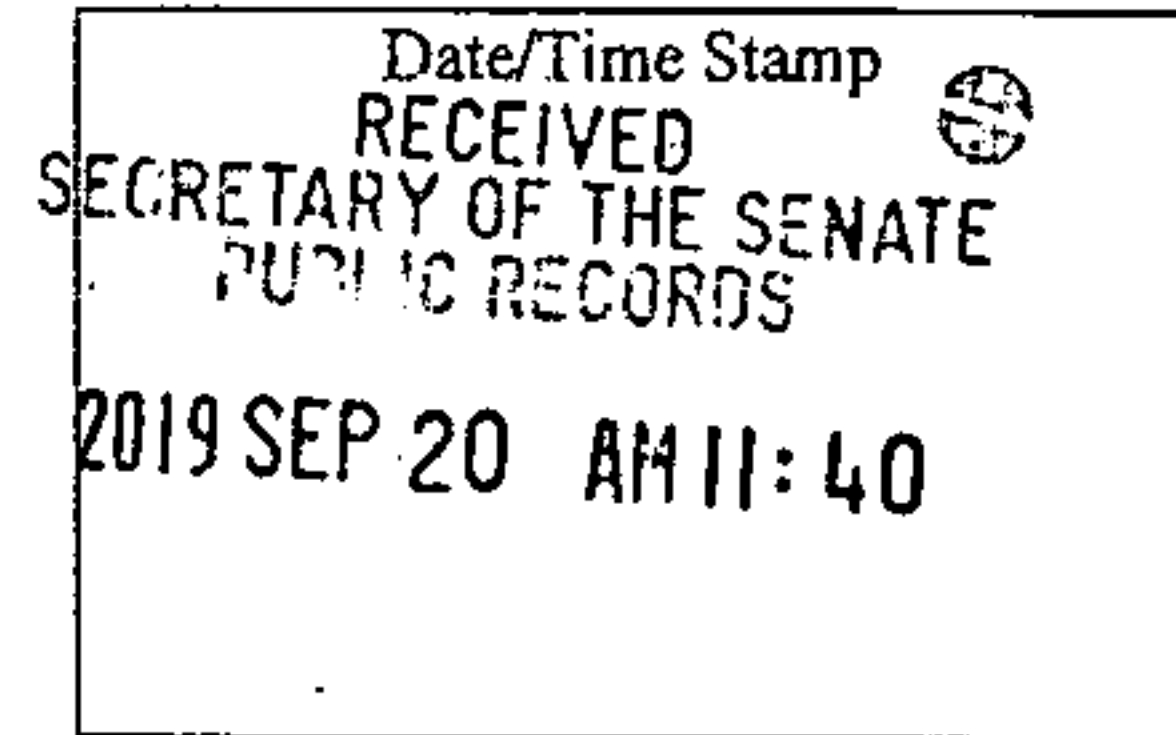
Instructions: Use this form as a cover sheet for any paperwork you may need to submit to the **Office of Public Records** in order to make your Privately Sponsored Post-Travel Submission complete in accordance with Rule 35. **Only complete this form if you need to submit an amendment to a post-travel filing you have already submitted.**

SUBMIT DIRECTLY TO THE OFFICE OF PUBLIC RECORDS IN 232 HART BUILDING

Name of Traveler: Brett Freedman

Employing Office/Committee: Senate Intelligence Committee

Travel Expenses Paid by (List all sources): Software Education Foundation

Travel Date(s): 8/21 - 8/23/2019

Description/Title of Attached Forms: RE-1, Post-Trip Materials Incl. Itinerary and Senate Staff

Purpose of Amendment (describe the reason for amending original submission): Incorrect Forms Provided Initially

9/20/19
(Date)

(Signature of Traveler)

(Revised 4/19/2010)

EMPLOYEE PRE-TRAVEL AUTHORIZATION

Pre-Travel Filing Instructions: Complete and submit this form at least 30 days prior to the travel departure date to the **Select Committee on Ethics in SH-220.** Incomplete and late travel submissions will **not** be considered or approved. This form **must** be typed and is available as a fillable PDF on the Committee's website at ethics.senate.gov. Retain a copy of your entire pre-travel submission for your required post-travel disclosure.

ETHIC JUL23'19AM 9:51

Name of Traveler: _____ Brett D. Freedman _____

Employing Office/Committee: _____ Senate Select Committee on Intelligence (SSCI) _____

Private Sponsor(s) (list all): The Software Education Foundation d.b.a. Software.org: the BSA Foundation

Travel date(s): 8/21-8/23/2019

*Note: If you plan to extend the trip for any reason you **must** notify the Committee.*

Destination(s): Boston, Massachusetts

Explain how this trip is specifically connected to the traveler's official or representational duties:

I currently serve SSCI as Minority Counsel. In that role, I provide advise and counsel on a variety of issues including such emerging technologies as artificial intelligence which is the focus of this visit. This trip will assist in my education through engagement in meetings, briefings, and conversations with leading thinkers on a highly technical and complicated issue likely to be before Members and staff in the coming years.

Name of accompanying family member (if any): N/A

Relationship to Employee: ☐ Spouse ☐ Child

I certify that the information contained in this form is true, complete and correct to the best of my knowledge:

_____ 7/23/19 _____   _____ *(Signature of Employee)* _____
(Date)

TO BE COMPLETED BY SUPERVISING SENATOR/OFFICER (President of the Senate, Secretary of the Senate, Sergeant at Arms, Secretary for the Majority, Secretary for the Minority, and Chaplain):

I, _____ Senator Richard Burr _____ hereby authorize _____ Brett D. Freedman _____
(Print Senator's/Officer's Name)   *(Print Traveler's Name)*

an employee under my direct supervision, to accept payment or reimbursement for necessary transportation, lodging, and related expenses for travel to the event described above. I have determined that this travel is in connection with his or her duties as a Senate employee or an officeholder, and will not create the appearance that he or she is using public office for private gain.

I have also determined that the attendance of the employee's spouse or child is appropriate to assist in the representation of the Senate. *(signify "yes" by checking box)* ☐

_____ 7/23/19 _____   _____ *(Signature of Supervising Senator/Officer)* _____
(Date)

PRIVATE SPONSOR TRAVEL CERTIFICATION FORM

This form must be completed by any private entity offering to provide travel or reimbursement for travel to Senate Members, officers, or employees (Senate Rule 35, clause 2). Each sponsor of a fact-finding trip must sign the completed form. The trip sponsor(s) must provide a copy of the completed form to each invited Senate traveler, who will then forward it to the Ethics Committee with any other required materials. The trip sponsor(s) should **NOT** submit the form directly to the Ethics Committee. Please consult the accompanying instructions for more detailed definitions and other key information.

The Senate Member, officer, or employee **MUST** also provide a copy of this form, along with the appropriate travel authorization and reimbursement form, to the Office of Public Records (OPR), Room 232 of the Hart Building, within thirty (30) days after the travel is completed.

1. Sponsor(s) of the trip (please list all sponsors): The Software Education Foundation d.b.a. Software.org: the BSA Foundation ("Software.org")

2. Description of the trip: An educational visit to software companies to provide a better understanding of the software industry's approach to artificial intelligence.

3. Dates of travel: Wednesday, August 21 - Friday, August 23, 2019

4. Place of travel: Boston, Massachusetts

5. Name and title of Senate invitees: See Attachment 1

6. I *certify* that the trip fits one of the following categories:

    ☒ (A) The sponsor(s) are not registered lobbyists or agents of a foreign principal **and** do not retain or employ registered lobbyists or agents of a foreign principal **and** no lobbyist or agents of a foreign principal will accompany the Member, officer, or employee *at any point* throughout the trip.

    OR

    ☐ (B) The sponsor or sponsors are not registered lobbyists or agents of a foreign principal, but retain or employ one or more registered lobbyists or agents of a foreign principal and the trip meets the requirements of Senate Rule 35.2(a)(2)(A)(i) or (ii) *(see question 9)*.

7. ☒ I *certify* that the trip will not be financed in any part by a registered lobbyist or agent of a foreign principal.

    AND

    ☒ I *certify* that the sponsor or sponsors will not accept funds or in-kind contributions earmarked directly or indirectly for the purpose of financing this specific trip from a registered lobbyist or agent of a foreign principal or from a private entity that retains or employs one or more registered lobbyists or agents of a foreign principal.

8. I *certify* that:

    ☒ The trip will not in any part be planned, organized, requested, or arranged by a registered lobbyist or agent of a foreign principal except for *de minimis* lobbyist involvement.

    AND

    ☒ The traveler will not be accompanied on the trip by a registered lobbyist or agent of a foreign principal except as provided for by Committee regulations relating to lobbyist accompaniment *(see question 9)*.

9. **USE ONLY IF YOU CHECKED QUESTION 6(B)**
   I *certify* that if the sponsor or sponsors retain or employ one or more registered lobbyists or agents of a foreign principal, one of the following scenarios applies:

   ☐ (A) The trip is for attendance or participation in a one-day event (exclusive of travel time and one overnight stay) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *on any segment* of the trip.

   ‡OR‡

   ☐ (B) The trip is for attendance or participation in a one-day event (exclusive of travel time and two overnight stays) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *on any segment* of the trip (*see questions 6 and 10*).

   ‡OR‡

   ☐ (C) The trip is being sponsored only by an organization or organizations designated under § 501(c)(3) of the Internal Revenue Code of 1986 and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *at any point* throughout the trip.

10. **USE ONLY IF YOU CHECKED QUESTION 9(B)**
    If the trip includes two overnight stays, please explain why the second night is practically required for Senate invitees to participate in the travel:

    _____

    _____

    _____

11. ☒ An itinerary for the trip is attached to this form. I *certify* that the attached itinerary is a detailed (hour-by-hour), complete, and final itinerary for the trip.

12. Briefly describe the role of each sponsor in organizing and conducting the trip:

    Software.org is the sole sponsor and organizer for this trip.

    _____

    _____

13. Briefly describe the stated mission of each sponsor and how the purpose of the trip relates to that mission:

    See Attachment 2

    _____

    _____

14. Briefly describe each sponsor's prior history of sponsoring congressional trips:

    This is Software.org's eighth trip for Congressional staff. Software.org previously sponsored two trips

    for Senate staff in 2018.

    _____

15. Briefly describe the educational activities performed by each sponsor (other than sponsoring congressional trips):

Software.org educates policymakers, stakeholders, and the general public through meetings and briefings

and by developing and publishing studies and papers that explain policy, new technologies, and other

topics of the interest to the software industry.

16. Total Expenses for Each Participant:

	Transportation - Expenses	Lodging Expenses	Meal Expenses	Other Expenses
☒ Good Faith estimate ☐ Actual Amounts	$567.84 (total) ——— $217.84 (airfare); $350.00 (coach minibus transportation)	$378.00	$159.50	None

17. State whether a) the trip involves an event that is arranged or organized *without regard* to congressional participation or b) the trip involves an event that is arranged or organized *specifically with regard* to congressional participation:

B) The trip involves events that are arranged specifically with regard to congressional participation.

18. Reason for selecting the location of the event or trip

Software.org chose Boston due to the number of software companies with major offices located in

close proximity, allowing Software.org to better showcase the industry's approach to artificial intelligence.

19. Name and location of hotel or other lodging facility:

The Aloft Boston Seaport District

401-403 D Street, Boston, MA 02210

20. Reason(s) for selecting hotel or other lodging facility:

The Aloft Boston Seaport District was chosen because their rates met the daily per diem rates for Boston.

2 . Describe how the daily expenses for lodging, meals, and other expenses provided to trip participants compares to the maximum per diem rates for official Federal Government travel:
The daily expenses for lodging, meals, and other expenses provided to trip participants will be below

the daily per diem rates for Boston.

22. Describe the type and class of transportation being provided. Indicate whether coach, business-class or first class transportation will be provided. If first-class fare is being provided, please explain why first-class travel is necessary:

One way coach class airfare from Washington, DC, to Boston, MA. Ground transport in Boston will be by coach

class minibus.

☒ I represent that the travel expenses that will be paid for or reimbursed to Senate invitees do not include expenditures for recreational activities, alcohol, or entertainment (other than entertainment provided to all attendees as an integral part of the event, as permissible under Senate Rule 35).

24. List any entertainment that will be provided to, paid for, or reimbursed to Senate invitees and explain why the entertainment is an integral part of the event:

None

25. I hereby *certify* that the information contained herein is true, complete and correct. (For trips involving more than one sponsor, you *must* include a completed signature page for each additional sponsor):

Signature of Travel Sponsor: _____

Name and Title: Chris Hopfensperger., Executive Director

Name of Organization: The Software Education Foundation d.b.a. Software.org: the BSA Foundation

Address: 20 F Street, NW, Suite 800, Washington, DC 20001

Telephone Number: (202) 530-5135

Fax Number: _____

E-mail Address: chris@software.org

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BSA Foundation

Senior Congressional Staff Delegation on Artificial Intelligence
Wednesday, August 21 – Friday, August 23, 2019

Day 1 – Wednesday, August 21, 2019

5:05 PM	United Airlines Flight #822 Departs Washington Dulles International Airport (IAD) to Boston Logan International Airport (BOS)
6:40 PM	UA822 Arrives at BOS. Ground transportation from the airport to dinner. *Estimated travel time: 10-15 minutes*
7:00 – 8:00 PM	Dinner Speaker – **Ramayya Krishnan**, Dean, Heinz College of Information Systems and Public Policy and William W. and Ruth F. Cooper Professor of Management Science and Information Systems, **Carnegie Mellon University**

Over dinner, participants will engage in a dialogue with Dr. Krishnan to learn about his experience as a key founder of the information systems and management program at Carnegie Mellon. As the Dean of Carnegie Mellon's Heinz College, home to both Carnegie's Public Policy and its Information Systems schools, Dr. Krishnan will offer a unique viewpoint on how lawmakers at all levels of government should be thinking about AI technologies and policy.

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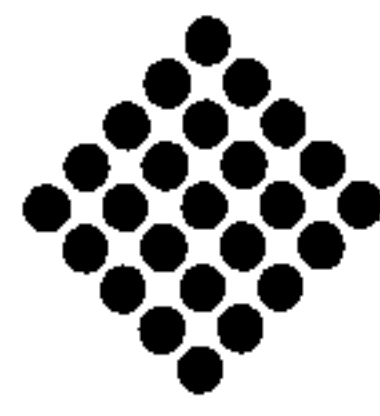
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8:30 AM	Group meets in hotel lobby. Ground transportation from hotel to Harvard Kennedy School. *Estimated travel time: 20-30 minutes*

9:00 – 11:00 AM **Harvard Kennedy School Belfer Center for Science and International Affairs – Technology and Public Purpose (TAPP) Project**
Taubman Hall, Nye Room, 5th Floor – 15 Eliot Street, Cambridge, MA 02138

At the Harvard Kennedy School's campus, attendees will participate in a roundtable with leading experts at the Belfer Center's new Technology and Public Purpose (TAPP) Project, which works to ensure that emerging technologies are developed and managed in ways that serve the overall public good. To this end, TAPP works to educate Members of Congress and their staff on new and emerging technologies such as the Internet of Things, Machine Learning, Genome Editing, and more.

11:00 AM Ground transportation from Harvard Kennedy School to IBM.
Estimated travel time: 10-20 minutes

11:30 AM – 1:30 PM **IBM**
75 Binney St, Cambridge, MA 02142

At IBM's Cambridge offices, participants will learn more about AI—including a demo of IBM AI applications—followed by an interactive discussion on their AI research, development, and policy. Experts at IBM will discuss the state of AI technology now, its trajectory for the future, and how policymakers can think about AI policy and ethics.

1:45 PM Ground transportation from IBM to Microsoft.
Estimated travel time: 5 minutes

2:00 PM – 4:15 PM **Microsoft New England Research and Development Center**
1 Memorial Dr, Cambridge, MA 02142

Attendees will visit Microsoft's New England Research and Development Center (NERD) to hear directly from leading Microsoft experts and researchers at the forefront of AI innovation to discuss research and development, Microsoft Research's Machine Learning Group, and AI applications of the Microsoft Azure cloud-computing service. Attendees will also participate in visual demonstrations at "The Garage," an experimental space where innovators can test out new ideas in a replicated real-world environment.

4:30 PM Ground transportation from Microsoft to The Brahmin
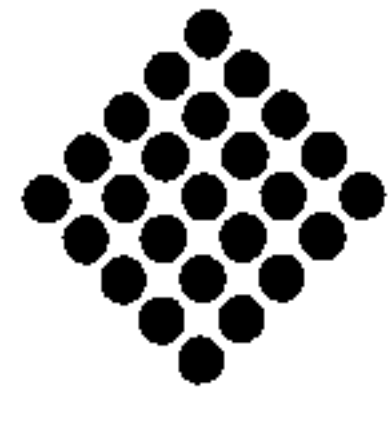*Estimated travel time: 20 minutes*

5:00 PM – 7:00 PM Dinner Speaker – **SoftBank Group**
The Brahmin American Cuisine & Cocktails – 33 Stanhope St, Boston, MA 02116

At a working dinner, attendees will hear from executives about SoftBank's perspective in the artificial intelligence space, learning how SoftBank is helping develop technology that helps people be more connected and efficient.

7:00 PM Ground transportation from restaurant to Aloft Boston Seaport District.

RON Aloft Boston Seaport District

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Day 3 – Friday, August 23, 2019

8:30 AM	Group meets in hotel lobby, check out of hotel. Ground transportation from hotel to Autodesk. *Estimated travel time: 10 minutes*

9:00 AM – 11:00 AM **Autodesk Boston Technology Center**
23 Drydock Ave, Suite 610E, Boston, MA 02210

At Autodesk's BUILD Space, researchers will show attendees first-hand how Autodesk's suite of design software leverages artificial intelligence, machine learning, and robotics in architecture, engineering, construction, manufacturing, and more fields. Participants will also learn how the Boston Technology Center partners with startups, universities, and industry stakeholders to explore ways to advance the building industry.

11:00 AM Ground transportation from Autodesk to Adobe
Estimated travel time: 15-30 minutes

11:30 AM – 1:30 PM **Adobe**
275 Washington St, Newton, MA 02458

At Adobe's Newton offices, attendees will meet with executives and experts working on AI to establish a dialogue on the government's role in AI and what the federal government should be focusing on. Adobe will then showcase how Adobe's software and apps empower designers and creators with AI-enabled tools and how they are combating new challenges to the industry, such as the rise of "deepfakes."

1:30 PM End of official programming. Traveler plans to extend his trip for personal purposes after the official programming ends.

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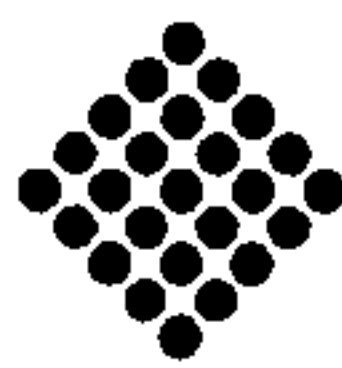
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Staff Delegation Trip to Boston
August 21–23, 2019

I'm writing to invite you to join Software.org: the BSA Foundation on our upcoming **Congressional Staff Delegation Trip to Boston Wednesday, August 21 – Friday, August 23.**

Agenda

The trip's meetings will focus on artificial intelligence discussions with company and university participants. The trip will begin with a morning flight to Boston on Wednesday and conclude with a return to Washington, D.C., on Friday afternoon.

Ethics/Costs

Software.org will cover all approved costs associated with this trip including airfare, hotel expenses, and group meals. This trip has been structured to comply with House and Senate ethics rules. For your convenience, Software.org will provide the necessary paperwork for your submission before the House and Senate Ethics deadlines.

Should you have any questions, or if you would like additional information, please contact Jake Morabito at jake@software.org.

Sincerely,

Chris Hopfensperger
Executive Director, Software.org

About Software.org

Software.org: the BSA Foundation is an independent and nonpartisan international research organization established to help people better understand the impact software has on our lives, our economy, and our society. Headquartered in Washington, DC, the foundation publishes studies that examine the intersection of software and society, and it engages with policymakers and the public to inform policies that can stay ahead of cutting-edge technologies. The foundation also works directly to empower the workforce of tomorrow by encouraging a diverse community of young coders and working to increase opportunities for training and skills. Follow Software.org at @BSA_Foundation.

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Senior Congressional Staff Delegation on Artificial Intelligence
Wednesday, August 21 – Friday, August 23, 2019

List of U.S. Senate Attendees

Attending Wednesday, August 21 – Friday, August 23

- Reema Dodin, Office of Senate Democratic Whip Richard Durbin
- Brett Freedman, Senate Select Committee on Intelligence
- Fern Gibbons, Senate Committee on Commerce, Science, and Transportation
- Rory Heslington, Senate Committee on Finance
- Virginia Lenahan, Senate Committee on Finance
- Christy Lewis, Senate Republican Conference Committee

Attending only Wednesday, August 21

- Anant Raut, Senate Committee on the Judiciary